



Lift Assessment Regulation (2014/33/UE)

In force from 15/11/2024

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CAPITOLO 1. GENERAL INFORMATION

1.1

This Regulation illustrates the procedures applied by RINA SERVICES S.p.A. (hereinafter referred to as "RINA") for the Conformity Assessment in accordance with Community Directive 2014/33/EU (hereinafter briefly referred to as "DIRECTIVE"), and the procedures that applicants must follow to obtain and maintain certification or verification.

For anything not provided for in this document, please refer to the "GENERAL CONTRACT CONDITIONS FOR THE CERTIFICATION OF SYSTEMS, PRODUCTS AND PERSONNEL", available on the website www.rina.org.

1.2

Access to certification or verification is open to all Organizations and is not conditioned by their membership or not of any Association or Group. For certification or verification activities, RINA will apply its current tariffs, guaranteeing their fairness and uniformity of application.

1.3

The certification or verification referring to the object of the certificate or verification is issued by RINA to the requesting organization, where Organization means a legally recognized company, enterprise, firm, public or private, which has its own functions and its administration or it can also include natural persons who own the building or plant. For Organizations with multiple operating units, a single operating unit can be defined as an Organization.

1.4

The information acquired during the certification or verification activity is considered and treated as confidential.

1.5

The terminology used in this Regulation is that reported in the Directive.

1.6

The RINA notification number to be affixed to the CE marking plates, covered by certification issued by RINA itself, is no. **0474** (only for certifications issued for Annexes V, VIII of the Directive). This number must be affixed in accordance with the provisions of the Directive.

CAPITOLO 2. RELEVANT LEGISLATION

The applicable legislation for the purposes of Conformity Assessment of lifts is, for certification activities, Directive 2014/33/EU of 26/02/2014 (OJ L 96/251 of 29/03/2014).

For the homogeneous application and interpretation of the Directive, RINA uses the Guidelines issued by the Working Group Party of the European Community.

CAPITOLO 3. RELEASE OF CERTIFICATION

3.1

Organizations that wish to obtain certification for the lifts they intend to market, must send a specific request by fax, post or e-mail using the following forms:

ASC004 (Application Annex V e VIII).

3.2

For certification services (annex V, VIII, of the directive), upon receipt of the duly completed request form for acceptance of the service proposal, RINA will send to the Organization for written confirmation of acceptance of the request.

3.3

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3.4

The forms referred to in point 3.1 duly completed by the Organization and the relative acceptance by RINA, when required, contractually formalize RINA's interventions carried out according to these Regulations.

RINA requests, for examination, the documents better specified in the annexes to this Regulation, applicable based on the chosen module.

3.5

RINA does not accept verification or certification applications that have already been submitted to other Notified Bodies.

3.7

Upon successful completion of all the exams and tests provided for in the annexes to this Regulation, RINA will issue the certificate/certificate/authorisation/approval required by the chosen assessment module.

In the event of a negative outcome, RINA will communicate this outcome to the Organization and agree with it the methods for any possible retry. In the event of a negative outcome of a periodic or extraordinary verification, the specific procedure is described in the relevant annexes to this Regulation.

CAPITOLO 4. VALIDITY

The certificate/report/certificate issued by RINA is valid indefinitely, for certifications in annex V or all. VIII of the Directive.

CAPITOLO 5. REFUSAL OF ISSUE AND REVOCATION OF VALIDITY

5.1

If the issuing of a certificate/certification/report should be justifiably refused, RINA must provide the detailed reasons for such refusal. In this case the Organization may initiate an appeal procedure as described in chapter 9.

The validity of the certificate/certification/report relating to a lift or an organization can be revoked by RINA if serious non-compliances are detected, such as:

- non-compliance of the products manufactured, marketed, or on the systems installed, with respect to the technical documentation submitted to RINA was detected;
- significant changes made to the products when they were placed on the market, without informing RINA.

The validity of the certification can also be revoked if changes have occurred to the standards and/or

requirements applicable to the products and the Organization does not believe or is unable to adapt to them.

RINA communicates to the other Notified Bodies the useful information regarding any denied or revoked certifications.

RINA also communicates to the competent Ministry the useful information regarding the certifications (issued, revoked, suspended or denied), as well as the outcome of the checks carried out on the plants.

5.2

The Organization whose certificate/certification/report is revoked/cancelled must return the relevant document to RINA.

The Organization that intends to access certification again after revocation will have to submit a new application following the entire process.

5.3

The certified Organization will not be able to use the certificate/certification/report and related copies when revoked or canceled.

CAPITOLO 6. LIST OF CERTIFICATIONS ISSUED

RINA periodically transmits to the competent Ministry the list of Certifications issued. The above list can be published by RINA on its website and contain:

- the name of the Organization and its address;
- the number of the certificate/certification/report;
- the description of the approved lift;
- the date of issue of the certificate/certification/report;
- the expiry date of the certificate/certification/report, if any.

CAPITOLO 7. ADVERTISING - USE OF RINA CERTIFICATION

7.1

The Organization may make known, in the ways it deems most appropriate, the receipt by RINA of the certificate/certificate/report.

7.2

The Organization can reproduce the certificate/certificate/report in its entirety, enlarging or reducing it, as long as it remains legible and is not altered in any way.

7.3

When using the certificate/certificate/report, the Organization must ensure that it does not extend to lifts not included among those indicated in the request.

7.4

In case of use that does not comply with what is indicated in the previous points or of illicit use, RINA will take appropriate measures against the Organization, including resorting to legal action.

CAPITOLO 8. USE OF THE RINA BRAND AND ACCREDITATION MARKS

As regards the release and use of the certification mark, the provisions contained in the RINA documents "General contract conditions for the certification of systems, products and personnel" and in the "Regulations for use" apply. of the RINA certification logo", in the current edition. The documents can be downloaded from the website www.rina.org

CAPITOLO 9. DISPUTES, RE-COURSE DIFFERENCES

9.1

Any disputes regarding the conduct of operations by technicians or the conclusions drawn by them must be raised by the interested parties as soon as possible and in any case no later than 30 days after the audit or verification or after communication of the conclusion to the interested parties themselves. In any case, the dispute or appeal will be examined by people different from those who carried out the audit or verification and made the certification decision. If complaints or reports are received from third parties, considered particularly significant, relating to the non-compliance of the documents issued with the applicable requirements and with this Regulation, RINA will also proceed to examine them with methods similar to those provided for appeals by interested parties.

9.2

In the absence of such disputes, it is understood that the interested parties recognize that the procedure, interpretations and conclusions were correct.

9.3

In the event of disagreements between RINA technicians and interested parties, the latter may appeal in the first instance to the territorially competent RINA Area Coordinator for services relating to the Lift scheme.

9.4

In the second instance, interested parties may appeal in writing, fully justifying their request, to the Lift Scheme Manager of RINA, who at his/her judgment and discretion will carry out the necessary investigations and will decide unquestionably.

9.5

Appeals must be presented as soon as possible after the disagreement arises, always, where possible, leaving the subject matter in its pristine condition.

9.6

The costs incurred by the Institute for the necessary additional checks are borne by the interested applicants, except in cases of recognized validity. However, all other costs remain the responsibility of the appellant.

9.7

The client accepts that, for any dispute, the competent court is that of Genoa (Italy).

CAPITOLO 10. RINA'S RESPONSIBILITIES

10.1

RINA carries out its tasks through In charged Technicians TI who it judges to possess the suitability and competence

requirements for the tasks entrusted to them, and qualified according to the internal procedures defined by RINA.

10.2

In its capacity as Notified Body, RINA exclusively expresses opinions and assessments of conformity with the rules and regulations valid regarding the certification of lifts or systems, within the European Community. Rina does not assume in any case (even if its opinions were requested in matters not expressly regulated) the responsibilities of the owners, designers, installers, builders, testers, construction sites and any person or body required by law or contract to provide guarantees, all subjects maintaining their respective responsibilities unchanged, even in the case of consultative interventions by RINA.

10.3

As regards the tasks directly undertaken and carried out outside of the delegated ones referred to in the following paragraph, RINA responds to the terms of the law.

10.4

As part of the tasks carried out by RINA as a Notified Body by the Ministry of Economic Development, any liability can only be recognized in the event of willful misconduct or gross negligence on the part of the officials or persons in charge.

10.5

RINA declines all responsibility for any errors or omissions in the system documentation and in the parts of the system booklet that have not been drawn up by its officials and kept in its archives.

10.6

The task entrusted to RINA within the scope of this Particular Certification Scheme constitutes acceptance of all the clauses contained therein.

CAPITOLO 11. CONFIDENTIALITY OF REPORTS AND OTHER DOCUMENTS

11.1

The technicians' reports, as well as other RINA documents, are of a confidential nature. For some of them, forwarding to the interested parties who are entitled to them takes place according to the specific procedures in force; reserves the right to issue a copy to interested parties who request it and who have the title or equivalent approval.

11.2

The reports and results of operations carried out at the request of an Authority can be communicated immediately, without particular authorizations, to the Authority itself, which must also be aware that such communications, when not emanating from the General Management of RINA, must be considered preliminary, being subject to superior revision.

CAPITOLO 12. DEFINITIONS

RT Technical Manager: RINA employee technician responsible for the technical activities of the Lift Directive 2014/33/EU in the European Union Territory.

Manager RINA employee responsible for the management, administrative and coordination

activities of the Lift Directive 2014/33/EU in the European Union Territory.

CA

Area Coordinator: Employee, who, with the support of RT and the Manager, constitutes the technical and management reference for a group of Technicians grouped by contiguous geographical areas.

SEG:

Area Technical Secretariat.

LAT:

reference instrumentation; guaranteed by the measurement capacity and metrological skills of the Calibration Centre, for traceability and traceability to national and international standards.

RINA:

RINA SERVICES S.p.A..

Lift:

A lifting appliance which connects defined planes, by means of a load support and which moves along rigid guides and whose inclination from the horizontal is greater than 15 degrees, or a lifting appliance which moves along a path perfectly defined in space, although not moving along rigid guides.

Independent Technician

Qualified technician for the verification activity (verification inspector, product certification technician), who did not participate in the evaluation process of the object to be verified, therefore independent from the Technician or the Audit Group in charge of evaluating the object to be certified.

TI In charged Technician

Qualified technician for the verification activity (verification inspector, product certification technician), responsible for evaluating the object to be verified.

CAPITOLO 13. COMMUNICATIONS TO CUSTOMERS OF ANY CHANGES TO THE CERTIFICATION SCHEME

Any changes to the certification scheme will be managed in accordance with the provisions of the Art. 11 of the Rina document "General contract conditions for the certification of systems, products and personnel" which can be viewed on the website www.rina.org.

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Attachment 2 – (intentionally blank)

Attachment 3 – Final Inspection Directive 2014/33/EU annex V

1. APPLICABILITY

This procedure applies when, for the issue of the EU declaration of conformity of a lift, the installer, meaning the natural or legal person who assumes responsibility for the design, manufacturing, installation and marketing of the lift the elevator, decides to adopt the Final Inspection procedure, according to Annex V of Directive 2014/33/EU.

The “Final Inspection” procedure, according to Directive 2014/33/EU, can be adopted for the following lifts:

- designed in compliance with Annex. IV, part B of Directive 2014/33/EU,
- designed in accordance with a lift for which a total quality assurance system compliant with Annex XI of Directive 2014/33/EU has been implemented, integrated by a project control where this is not entirely compliant with harmonized standards.

RINA examines the technical documentation of the lift and carries out the appropriate tests, defined in the applicable standards referred to in Directive 2014/33/EU, or equivalent tests, to verify compliance with the requirements of the aforementioned Directive. If the lift complies with the provisions of Directive 2014/33/EU, RINA draws up a certificate of conformity relating to the tests carried out and allows the installer to affix the RINA notification number 0474 next to the CE marking.

2. DEFINITIONS

For the main definitions, please refer to the provisions of Chapter 12 of this Regulation.

3. PROCESS DESCRIPTION

3.1 APPLICATION

The installer entrusts RINA with the task of checking the Final Examination in accordance with the procedure set out in the annex. V of Directive 2014/33/EU, using the form [ASC004 APPLICATION ANNEX V AND VIII] certifying that you have not already sent the request to other Notified Bodies.

The technical documentation of the system consisting of the following documents must be attached to the request:

- overall project of the lift,
- drawings and diagrams necessary for the Final Exam and in particular the control circuit diagrams,
- a copy of the instructions for use.

If the lift does not have refuge volumes beyond the extreme positions required in point 2.2 in appendix. I of Directive 2014/33/EU, the certification is limited to ascertaining compliance with the requirements of the directive only, without certifying compliance with further national requirements applicable to the installation.

3.2 ACCEPTANCE OF APPLICATION

CA, having received and verified the request form, confirms acceptance of the assignment and assigns the verification to a qualified IT for the type of verification, which is recorded on the form itself. The Applicant will be returned a copy of the form signed for acceptance by the CA or Manager. The Applicant has one week to report to CA any refusal of the technician for his replacement.

3.3 AUDIT PLANNING

Before verifying the conformity of the lift, the Technician in Charge plans the verification with the installer, in accordance with the assignment received.

3.4 DOCUMENTARY EXAMINATION

Subsequently, the Technician in charge of carrying out the conformity verification proceeds to examine the completeness and congruity of the technical documentation attached to the Request.

If the documentation is incomplete/incongruous, the verifier must inform the installer, requesting its integration to be carried out within the examination of the lift.

3.5 PRODUCT EXAMINATION - CHECKS AND TESTS

In accordance with what was established with the installer, the Technician in Charge will go to the building where the system is installed to carry out the checks and tests relevant to §6.3 of the EN 81-20 standard.

The technician in charge of verifying conformity has the installer carry out the necessary tests and technical checks.

The weights and any support equipment for load and overload tests on the lift system must be provided by the installer; the Technician in charge of the verification must instead provide the necessary measurement and control instruments calibrated in accordance with the relevant internal RINA instructions.

If the opinion of conformity at the end of the test and control operations is negative or the deficiencies found during the documentary examination remain, the verifier promptly informs the installer, illustrating the reasons for the negative outcome. The form [ASC003 LIFT REPORT] will be sent to the installer only upon specific request or in case of a negative opinion. A new visit can only be carried out after the installer has eliminated the non-compliances. The new verification must be carried out by RINA, in accordance with the provisions of point 4 paragraph 3 of the annex. V of Directive 2014/33/EU.

At the end of the test and control operations with a positive outcome, the Technician in Charge will complete the pages of the lift booklet/register with the characteristics and basic data, as required in point 6.2 of the Attachment. 1 of Directive 2014/33/EU and point 7.3.2 of standard EN 81-20.

3.6 CERTIFICATE ISSUANCE

With the issuing of the Certificate, RINA authorizes the affixing of the Notification identification number 0474 next to the CE marking, placed on the lift by the installer.

Attachment 3 – Final Inspection Directive 2014/33/EU annex V

4. EU DECLARATION OF CONFORMITY

Before marketing, the installer must draw up the EU Declaration of Conformity which must include the data referred to in Annex II, par. B of Directive 2014/33/EU.

5. CE MARKING

The CE marking consists of the initials "CE" and is accompanied by the Notification number 0474 identifying the RINA body. The marking must be placed in the cabin by the installer.

6. CAR PLATES

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7. FORMS

ASC003 LIFT REPORT

ASC004 APPLICATION ANNEX V AND VIII

Attachment 4 – Unit Verification Directive 2014/33/EU annex VIII

1. APPLICABILITY

This procedure applies when, for the issue of the EU declaration of conformity of a lift, the installer of the lift, meaning the natural or legal person who assumes responsibility for the design, manufacture, installation and marketing of the lift, decides to adopt the Unit Verification procedure, according to Annex VIII of Directive 2014/33/EU.

RINA examines the technical documentation of the lift and carries out the appropriate tests, defined in the applicable standards referred to in Directive 2014/33/EU, or equivalent tests, to verify compliance with the requirements of the aforementioned Directive.

If the lift complies with the provisions of Directive 2014/33/EU, RINA draws up a certificate of conformity relating to the tests carried out and allows the installer to affix the RINA notification number 0474 next to the CE marking.

2. DEFINITIONS

For the main definitions, please refer to the provisions of Chapter 12 of this Regulation.

3. PROCESS DESCRIPTION

3.1 APPLICATION

The installer entrusts RINA with the task of verifying the Unit in accordance with the procedure set out in the annex VIII of Directive 2014/33/EU, using the form [ASC004 APPLICATION ANNEX V AND VIII] and certifying that you have not already sent the request to other Notified Bodies.

The technical documentation of the system consisting of the documents referred to in point 3 of the Annex VIII of Directive 2014/33/EU must be attached to the application, aimed at assessing compliance with design, installation and operating requirements, including:

- general description of the lift;
- design and manufacturing drawings or diagrams and explanations necessary for their understanding;
- list of essential safety requirements considered and standards or solutions adopted to satisfy the requirements involved;
- results of the tests and calculations conducted to verify compliance with the requirements;
- instruction on how to use the lift;
- copy of the type certificates of the safety components used;
- copy of the EU declaration of conformity of the safety components used.

If the harmonized standards are not fully applied, the documentation relating to the solutions adopted to satisfy the essential safety and health requirements envisaged must demonstrate that they provide a level of safety equivalent to that achieved by applying the measures envisaged by the harmonized standards.

If the lift does not have refuge volumes beyond the extreme positions required in point 2.2 in appendix I of Directive 2014/33/EU, the certification is limited to ascertaining compliance with the requirements of the directive only, without certifying compliance with further national requirements applicable to the installation.

3.2 ACCEPTANCE OF APPLICATION

CA, having received and verified the request form, confirms acceptance of the assignment and assigns the verification to a qualified IT for the type of verification, which is recorded on the form itself. The Applicant will be returned a copy of the form signed for acceptance by the CA or Manager. The Applicant has one week to report to CA any refusal of the technician for his replacement.

3.3 AUDIT PLANNING

Before verifying the conformity of the lift, the Technician in Charge plans the verification with the installer, in accordance with the assignment received.

3.4 DOCUMENTARY EXAMINATION

Subsequently, the Technician in charge of carrying out the conformity verification proceeds to examine the completeness and congruity of the technical documentation attached to the Request.

If the documentation is incomplete/incongruous, the verifier must inform the installer, requesting its integration to be carried out within the examination of the lift.

3.5 PRODUCT EXAMINATION - CHECKS AND TESTS

In accordance with what was established with the installer, the Technician in Charge will go to the building where the system is installed to carry out the checks and tests relevant to §6.3 of the EN 81-20 standard.

The technician in charge of verifying conformity has the installer carry out the necessary tests and technical checks.

The weights and any support equipment for load and overload tests on the lift system must be provided by the installer; the Technician in charge of the verification must instead provide the necessary measurement and control instruments calibrated in accordance with the relevant internal RINA instructions.

If the opinion of conformity at the end of the test and control operations is negative or the deficiencies found during the documentary examination remain, the verifier promptly informs the installer, illustrating the reasons for the negative outcome. The form [ASC003 LIFT REPORT] will be sent to the installer only upon specific request or in case of a negative opinion.

A new visit can only be carried out after the installer has eliminated the non-compliances. The new verification must be carried out by RINA, in accordance with the provisions of point 4 paragraph 4 Annex VIII of Directive 2014/33/EU.

At the end of the test and control operations with a positive outcome, the Technician in Charge will complete the pages of the lift booklet/register with the characteristics and basic data, as required in point 6.2 of the Annex 1 of Directive 2014/33/EU and point 7.3.2 of standard EN 81-20

3.6 CERTIFICATE ISSUANCE

With the issuing of the Certificate, RINA authorizes the affixing of the Notification identification number 0474 next to the CE marking, placed on the lift by the installer.

Attachment 4 – Unit Verification Directive 2014/33/EU annex VIII

4. EU DECLARATION OF CONFORMITY

Before marketing, the installer must draw up the EU Declaration of Conformity which must include the data referred to in Annex II, par. B of Directive 2014/33/EU.

5. CE MARKING

The CE marking consists of the initials "CE" and is accompanied by the Notification number 0474 identifying the RINA body. The mark-ing must be placed in the cabin by the installer.

6. CAR PLATES

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7. FORMS

ASC003 LIFT REPORT

ASC004 APPLICATION ANNEX V AND VIII

Attachment 5 – (intentionally blank)

Attachment 6 – FORMS

1. RIASSUNTO FORMS

ASC003 LIFT REPORT

ASC004 APPLICATION ANNEX V AND VIII

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