

Supplementary appendix – Reference standard: ISO45001

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CHAPTER 1 - GENERAL

1.1

These Rules define the additional and/or substitutive procedures applied by RINA for the certification of Occupational Health and Safety Management Systems in relation to what is already defined in the General Rules for the Certification of Management Systems.

1.2

RINA issues certification in accordance with the requirements of the ISO/IEC 17021:2015 Standard to Organizations whose Occupational Health and Safety Management System has been recognized as fully conforming to all the requirements of the standard:

ISO 45001:2018

CHAPTER 2 - REFERENCE STANDARD / CERTIFICATION REQUIREMENTS

2.1

According to what is stated in the General Rules for the Certification of Management Systems, to obtain RINA certification an Occupational Health and Safety Management System must first and henceforth satisfy the requirements of ISO 45001:2018 and the additional requirements of accreditation bodies.

CHAPTER 3 - INITIAL CERTIFICATION

3.1

As well as what is established in the General Rules for the Certification of Management Systems, an organisation must inform RINA of:

- ✓ any sites excluded from the field of application of the Occupational Health and Safety Management System to verify acceptability of these exclusions;
- ✓ Identification of the significant risks to occupational health and safety, hazardous materials used in the processes and any legal obligations relevant to OH&S legislation, filling in "OHS - List of the laws applicable to OHS aspects" (attached to the offer) or providing equivalent documentation;
- ✓ number of personnel provided to other organizations, if any.

3.6

As well as what is stated in the General Rules for the Certification of Management Systems, the certification process will be suspended if the authorisations or equivalent documents, required by the laws in force, in the health and safety fields, are missing.

If findings are detected during the audits linked to non compliance with mandatory legal requirements in the Occupational



Health and Safety field, the certification process will be suspended, except in particular cases, until the organisation demonstrates compliance with these requirements.

Note. Legal requirements mean:

- Legal limits and/or provisions;
- Limits and/or provisions indicated on authorisations or other regulatory documents, etc

CHAPTER 4 - MAINTENANCE OF CERTIFICATION

4.2

As well as what is stated in the General Rules for the Certification of Management Systems, the organisation is to keep a record of:

- ✓ accidents /emergencies on the site(s) and other events that could have had a negative effect on worker health and safety;
- ✓ any observations or remarks from national or local authorities responsible for controlling the workplace;

and must make this record available to RINA together with the relative corrective actions taken during the periodic audits.

In particular, the organisation is required to inform RINA without delay of any serious incident or observations/remarks received from national or local authorities responsible for controlling the workplace.

RINA will evaluate the actions to be performed, including the possibility to plan an extra audit, as defined in the General Rules for the Certification of Management Systems, clause 4.4, in order to investigate if the management system has not been compromised and did function effectively.

Moreover, the same activities will be performed in cases where either the body guaranteeing the certificates issued by RINA and/or the pertinent authorities inform RINA of criticalities linked to occupational health and safety management.

CHAPTER 5 - RECERTIFICATION

The requirements of the RINA Rules for the certification of management systems (RC/C 40) apply.

CHAPTER 6 - CONDUCTION OF AUDITS

6.1.3

In addition to what is stated in the General Rules for the Certification of Management Systems, the term non-conformity also refers to a situation such as to reduce the capacity of the management system to ensure control of the compliance with mandatory legal requirements in the Occupational Health and Safety field.

CHAPTER 7 - MANAGEMENT OF CERTIFICATES OF CONFORMITY

The requirements of the RINA Rules for the certification of management systems (RC/C 40) apply.

CHAPTER 8 - MODIFICATION OF CERTIFICATION AND COMMUNICATION OF CHANGES

The requirements of the RINA Rules for the certification of management systems (RC/C 40) and of the "GENERAL CONDITIONS OF CONTRACT FOR THE CERTIFICATION OF SYSTEMS, PRODUCTS AND PERSONNEL" apply.

CHAPTER 9 - SPECIAL REQUIREMENTS FOR MULTI-SITE ORGANISATIONS

9.1

As well as the activities defined in the General Rules for the Certification of Management Systems, also the risk analysis and assessment of the sites is to be managed by the organisation's head office.

9.2

As well as what is stated in the General Rules for the Certification of Management Systems, the sampling must consider following factors:

- ✓ the level of OH&S risks associated to the nature of activities and processes carried out in each site included in the scope of certification;
- ✓ the differences between the operations of each site (technology, equipment, quantities of hazardous materials used and stored, working environment, premises, etc.).

CHAPTER 10 - TRANSFER OF ACCREDITED CERTIFICATES

The requirements of the RINA Rules for the certification of management systems (RC/C 40) apply.

CHAPTER 11 - SUSPENSION, REINSTATEMENT AND WITHDRAWAL OF CERTIFICATION

11.1

As well as what is stated in the General Rules for the Certification of Management Systems, the validity of the certificate of conformity is suspended in case of RINA's evaluation about serious accident, or a breach of regulation necessitating the involvement of the appropriate regulatory authority, if it has been demonstrated that the system seriously failed to meet the OH&S certification requirements.

CHAPTER 12 - RENUNCIATION OF CERTIFICATION

The requirements of the RINA Rules for the certification of management systems (RC/C 40) apply.

CHAPTER 13 - CONTRACTUAL CONDITIONS

The requirements of the RINA Rules for the certification of management systems (RC/C 40) and of the "GENERAL CONDITIONS OF CONTRACT FOR THE CERTIFICATION OF SYSTEMS, PRODUCTS AND PERSONNEL" apply.