



RULES FOR THE CERTIFICATION OF SUSTAINABILITY OF PRODUCTS ACCORDING TO CARTA DEL MULINO

In force from 03/05/2021

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Summary

Summary	2
1. OVERVIEW	3
1.1 Scopo.....	3
1.2 Criteria	3
2. DEFINITION OF THE CONTRACT	3
2.1 Certification Request	3
2.2. Definition of the Contract	3
3. CERTIFICATION	3
3.1 Definition of the Audit Team	3
3.2 On-site audit	4
3.3 Non-conformities management.....	4
3.4 Certificate issuance	4
4. RECERTIFICATION	4
4.1. Definition of the audit team	4
4.2. On-site audit	4
4.3. Non-conformities management.....	4
4.4. Certificate issuance	5
5. SOSPENSIONE, RIPRISTINO, REVOCA E RINUNCIA DELLA CERTIFICAZIONE	5
5.1 Suspension of certification.....	5
5.2 Reinstatement of the certification	5
5.3 Revoke of certification	5
5.4 Renounce of certification	5

1. OVERVIEW

1.1 Scopo

The present Rules aims at defining the procedures applied by RINA Service S.p.A. (RINA) for issuing the certification of sustainability of products according to Carta del Mulino, for defining the contract with RINA, as well as the instructions for any suspension, restoration, revoke, withdrawal or renounce of the certification.

1.2 Criteria

Carta del Mulino is a regulatory document owned by Barilla G. e R. Fratelli S.p.A. including a set of agronomic and storage rules, defined in cooperation with WWF Italia, the University of Bologna, University of Tuscia and Open Fields with the target of promoting environmental biodiversity and build value for local communities involved in the soft wheat chain of custody.

Carta del Mulino is based on ISCC Plus certification scheme, specific for food, produced following sustainability principles. Carta del Mulino is currently under revision 4.0 and is available at the webpage <https://lacartadelmulino.it/> in different languages.

The certificate of conformity issued to assess the sustainability of products according to the requirements of Carta del Mulino has a validity of one year.

Access to certification is open to all the Organization part of the chain of custody of products prepared with sustainable raw materials that subscribe to Carta del Mulino, classified in the following categories:

- farms,
- first gathering points,
- traders,
- crushing plants,
- processing plants.

Farms may undergo certification individually or can be object of audit as provider of first gathering points, by following the instructions of group certification.

Group certification foresees carrying out an audit following a sampling criteria consisting in the square root of the number of farms gathering to each first gathering point, rounded up to the following whole number, and multiplied by a risk factor.

The risk factor is defined as follows:

- 1= for initial audit or recertification audits if all farms belonging to first gathering points have successfully passed (Compliant)

audits during the previous year

- 1.5= for recertification audits if one or more farms belonging to first gathering points did not successfully pass (NOT Compliant) audits during the previous year
- 2= for recertification audits if, for one or more consecutive years, one or more farms belonging to first gathering points have not successfully passed (NON-Compliant) audits

In the case of a group certification the first gathering point is responsible of the implementation of the requirements of Carta de Mulino by the farms and for carrying put at least one audit per-year on all the farms.

2. DEFINITION OF THE CONTRACT

2.1 Certification Request

Organization willing to request certification of sustainability of products according to the requirements of Carta del Mulino shall provide RINA the essential data on their organization and their products, by sending a proper form, forwarded by RINA after the certification request or by sending the same information in another form.

An Organization may request the subscription of a framework agreement and act as a referent for the certification of other Organizations in the chain of custody. In these cases, it has to provide RINA with all the information related to each single Organization for which certification is requested and to the group members in case of farms and group certification.

2.2. Definition of the Contract

The contract RINA signs with the Organization usually includes the certification audit aimed at the issuance of the certificate and one or more recertification audit aimed at renewing it.

There will be the chance to carry out supplementary audits, as foreseen by the present Rules, at a fixed man/Day rate and those audits and the related costs shall be accepted by the Organization in any case.

Together with the order confirmation, RINA will ask the Organization to forward some documents allowing the prior verification of the application of some requirements. The Organization shall make available to RINA the required documents. The Organization acting as a referent for the other Organizations in the chain of custody is responsible for providing all the required documents.

3. CERTIFICATION

3.1 Definition of the Audit Team

RINA communicates to the Organization the name

of technical personnel in charge for carrying out the on-site audit at the Organization to be verified (audit team). The Organization may object on the enrollment of these personnel, by justifying the reasons.

3.2 On-site audit

The audit team agrees, with an appropriate anticipation, the date of the on-site visit at the Organization to be verified.

In order to carry out the on-site audit, free access shall be granted to the audit team, during the normal working-time, to the Organization's site.

The audit involves interviews to the personnel of the verified Organization, a review of the documents and relevant records for the application of Carta del Mulino and to the correspondence between personnel's declarations, the documents, the records and what was observed on-site

At the end of the audit, the audit team provides the Organization with a report containing any non-conformity and/or recommendation observed.

3.3 Non-conformities management

In the case any of the requirements specified within Carta del Mulino is not fulfilled, the audit team issues a Non-conformity in its report.

In presence of a Non-conformity the certification process is suspended.

The non-conformities divide into critical and non-critical.

Critical Non-conformities cannot be solved, while *non-critical* ones can be solved through proper corrective actions within a maximum of 40 days from the end of the audit.

The Organization, after analyzing the causes of the non-conformities pointed out on the report, shall agree with the audit team the necessary treatment of those non-conformities, as well as the necessary corrective actions.

Under opinion of the audit team, the correct and effective implementation of the treatment and corrective actions can be verified with a supplementary audit, on-site or documental, basing on the type of corrective actions to be verified.

In the case the mentioned deadline of 40days is exceeded, a new on-site audit shall be carried out within 6 months from the date of the finding

After this 6 months period without a positive conclusion of the evaluation, RINA can consider the certification iter as closed.

The deadlines mentioned above can be varied in particular cases, upon a motivated request by the Organization and under RINA's opinion.

In the case a critical non-conformity is found on a farm, that farm is excluded from the group and, under opinion of the audit team, it can be necessary to increase the sample of farms to verify.

In the case a non-critical non conformity is found on a farmer, that farmer can be kept into the group as long as he solves the non-conformity within 40 days.

3.4 Certificate issuance

After the audit activity is completed with positive outcome and any non-conformity has been solved, upon an independent technical review and the approval of an authorized person, a Certificate of Conformity with one year of validity is issued to the Organization.

The Certificate of Conformity issued by RINA has a validity of one year starting from the issuance date and contains at least the following elements:

- a) the unique ID number of certificate;
- b) the registered company name and the address of the subject who receives the certificate;
- c) the sustainable products certified;
- d) the regulatory document in the applicable version;
- e) the date of issuance;
- f) the validity and date of expiring;
- g) the list of farms included in the group certification, if applicable;
- h) the list of external warehouses;
- i) the authorized person's signature.

Organizations find the certificate published on the site <https://lacartadelmulino.it/> in their own reserved area, together with other documents useful for certification purposes.

4. RECERTIFICATION

4.1. Definition of the audit team

The same rules reported at point 3.1 shall apply.

The recertification audit is carried out before the expiry of the certificate.

4.2. On-site audit

The audit activity is carried following the modes reported at point 3.2.

4.3. Non-conformities management

The Organization shall point out to RINA any modification that has taken place from the previous audit

The same rules reported at point 3.3 shall apply.

4.4. Certificate issuance

The same rules reported at point 3.4 shall apply.

5. SOSPENSIONE, RIPRISTINO, REVOCA E RINUNCIA DELLA CERTIFICAZIONE

5.1 Suspension of certification

The validity of the issued certification can be suspended in the following particular cases:

- If the Organization has carried out important structural works within its Site/s, or moves to another site/s, without communicating these variations to RINA
- In presence of significant variations to the certifies products and/or to the production process and/or to the applicable sustainability management system that have not been communicated and accepted by RINA;
- Evidence of critical complaints that may be put to the attention of RINA
- In any other situation that RINA, in his opinion, deems to have a negative influence on the conformity of the Organization and of the certified products.

The suspension is notified to the Organization i written form, by specifying the conditions for the reinstatement of the certification and the deadline for their implementation.

5.2 Reinstatement of the certification

The reinstatement of the certification is dependent from verifying that the deficiencies that have brought to the suspension, through a supplementary audit that is completed with positive outcome.

In the case the causes of the suspension have not been removed within the deadline, the certificate is revoked.

5.3 Revoke of certification

RINA revokes the certification in the particular critical cases foreseen for the suspension and if a non-conformity to the requirements of Carta del Mulino has arisen.

5.4 Renounce of certification

The Organization can present to RINA a request to renounce the certification. Usually, within a month from this communication, RINA updates the state of validity of the certificate

Publication: RC/C 135
English Edition